



## **Exhibit 3.4**

### **Reports Matrix**

**Modification 24**

**Effective July 1, 2023**

**VA-180112-ATOS**

**COMMONWEALTH OF VIRGINIA  
VIRGINIA IT AGENCY (VITA)  
SUPPLIER STRATEGY AND PERFORMANCE DIVISION**

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Table of Contents

1.0 Introduction.....3

2.0 Cross Functional Services Reports.....3

3.0 Tower Specific Services Reports..... 42

4.0 Administrative Services Reports..... 44

## 1.0 Introduction

This Exhibit contains a summary description of the format, content, and frequency of key reports required by VITA. This Exhibit may not include all reports currently provided by the In-Scope organizations or otherwise requested or required pursuant to the Agreement. However, the Supplier is required to provide any and all such reports regardless of their inclusion in this Exhibit.

The Supplier will continue to work with VITA to determine any key reports required as part of the Agreement.

## 2.0 Cross Functional Services Reports

Report Category	Report Name	Description	KPI Examples	Frequency
Project Management	Weekly Project Management Report	Report on the status of all open projects and projects closed during the week.  Report the status of each project to the requestor on a periodic basis as agreed with the requester.	<ul style="list-style-type: none"> <li>Number of Projects (e.g., new, completed, in progress)</li> <li>Risks and Issues – open and closed</li> <li>Schedule, scope, and budget status – actual vs. estimated</li> <li>Overall status</li> </ul>	SUSPEND
Project Management	Project Management Performance	Report related to project and project management performance including: <ul style="list-style-type: none"> <li>Project performance to schedule (major milestones and completion)</li> <li>Project performance to budget</li> <li>Major Project Issues</li> <li>Major Project changes</li> </ul>	<ul style="list-style-type: none"> <li>Number or percentage of projects on schedule and on budget (actual vs. planned)</li> <li>Assessment of value delivered for completed projects (actual vs. planned)</li> <li>Post implementation reviews conducted and results of same</li> </ul>	SUSPEND
Project Management	Project Slippage Report	Report related to projects not currently meeting their task deadlines and milestone dates, or that are over budget: <ul style="list-style-type: none"> <li>Project performance to schedule (major milestones and completion)</li> <li>Project performance to budget</li> </ul>	<ul style="list-style-type: none"> <li>Number of projects behind scheduled or over budget</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
Strategy Analysis	Internal Strengths and Weaknesses	Review of internal strengths and weaknesses through an internal analysis.	Internal analysis should include the following: a.) Existing service analysis (operational and planned services) b.) Cost of services and ROIs (if applicable) c.) Efficiency and effectiveness of day-to-day operations d.) Existing resources and capabilities e.) Existing and planned projects f.) Organization's business strategy g.) Existing technologies	<del>Annual full review with quarterly incremental updates based on changing conditions. Due the 10<sup>th</sup> business day of the next reporting period.</del>  <b>SUSPEND</b>
Strategy Analysis	External Opportunities and Threats	Analyze the opportunities and threats and how they will develop in the future.	The external analysis should include the following: a.) Customers (who the customers are and what challenges they are facing) b.) Partners (who the partners are and what their strengths and weaknesses are) c.) Competitors (how the competitors have differentiated themselves and whether they have found a more cost-effective way of doing things) d.) Political factors (how the current practices are impacted by political changes) e.) Economic factors (what the economic forecast is and how it will impact the current situation)	<del>Annual full review with quarterly incremental updates based on changing conditions. Due the 10<sup>th</sup> business day of the next reporting period.</del>  <b>SUSPEND</b>



Report Category	Report Name	Description	KPI Examples	Frequency
			f.) Technology trends (How technological innovation will affect the IT service providers' products, services, or operations) g.) Legal, regulatory, and legislative requirements (how new legislations impact the way service providers conduct business) h.) Industry benchmarks	
Strategy Analysis	Market Spaces	Document current and new market spaces that were identified during the internal and external assessment.	<ul style="list-style-type: none"> <li>• Unserved or underserved market spaces</li> <li>• Market spaces best served by the current service assets</li> <li>• Market spaces to avoid with the existing service assets</li> <li>• Investment priorities based on the service providers' potential to service the market space</li> <li>• Strengths, weaknesses, opportunities, and threats in each market space</li> </ul>	<del>Quarterly</del> <del>Semi-Annually</del> <del>Annually</del> <del>Due the 10<sup>th</sup> business day of the next reporting period.</del> <b>SUSPEND</b>
Strategy Analysis	Strategy Success	The success of the strategy should be measured from a number of different points of view.	<ul style="list-style-type: none"> <li>• Business outcome</li> <li>• Service management processes (see other reports in this Exhibit)</li> <li>• Performance of services and deviations from forecasted results</li> <li>• Organizational learning</li> <li>• Plans (time and budget)</li> <li>• Effectiveness of identified patterns of actions</li> <li>• Adherence to policies</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"> <li>ROI targets for each service</li> </ul>	
IT Technology Planning	Technology Advances	Report related to new technology advances and evolutions applicable to the ITISP environment (uses data from MSI and Service Tower Suppliers)	<ul style="list-style-type: none"> <li>Timely development of new services to meet the business objectives</li> <li>Percentage or number of strategic objectives that are met</li> </ul>	<b>Annual Quarterly</b> Due the 10 <sup>th</sup> business day of the next reporting period
IT Technology Planning	Regulatory Issues and Changes	Report and analysis of regulatory issues and changes that could affect the ITISP environment directly or indirectly	<ul style="list-style-type: none"> <li>Issues and changes opened, stoplight status, escalated, and closed</li> </ul>	<b>Quarterly</b> Due the 10 <sup>th</sup> business day of the next reporting period
IT Technology Planning	Software Life	Report on upcoming software releases and end-of-support dates for ITISP Software	<ul style="list-style-type: none"> <li>Issues and changes opened, stoplight status, escalated, and closed</li> </ul>	SUSPEND
IT Technology Planning	Software Licensing	Report on ITISP Software license renewal dates	<ul style="list-style-type: none"> <li>Issues and changes opened, stoplight status, escalated, and closed</li> </ul>	SUSPEND
Financial Management		Refer to Section 4 of this Exhibit.		

Report Category	Report Name	Description	KPI Examples	Frequency
Service Portfolio Management	Portfolio: Potential Improvements	Report on potential improvements to the portfolio of Tower Services, gathered from Service Towers Suppliers and including Customer feedback	<ul style="list-style-type: none"> <li>Number of incidents caused by inaccurate or incomplete service packages</li> <li>Number of changes made to service packages and SLPs after baseline/approval</li> <li>Number of service portfolio audits/reviews conducted (actual vs. planned)</li> <li>Number of differences discovered between actual and documented services (related to retired services, operational services, and planned services)</li> </ul>	SUSPEND
Service Portfolio Management	Portfolio: Completed Improvements Portfolio Health	Report on all ITISP Service updates, additions, or other changes		SUSPEND
Service Portfolio Management	Portfolio: Pipeline priorities	View of top 20 services in the process of proposal, design, approval, transition to operations or transition out of operations. Monthly - View of top priority services-as identified by AITA in the pipeline. Quarterly – And alignment to the Annual Technology Plan	<ul style="list-style-type: none"> <li>Status of each and next steps, owner, date targets, issues. Refer to VITA service Portfolio &amp; Lifecycle Management process.</li> </ul>	SUSPEND
Demand Management	Tower Service Consumption - % of PBAs Predicted/Actual	Report on predicted and actual consumption of Tower Services. Include patterns of business activity, identify trends and risks that may cause demand	<ul style="list-style-type: none"> <li>Percentage of User Profiles(UP) and Patterns of Business Activity (PBAs) that are defined for each service, and those that are inaccurate.</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
		to exceed available Tower Service capacity		
Demand Management	Tower Service Consumption PBAs Tracked Consumption History	Report that tracks and analyzes patterns of business activity and Tower Service consumption history on an annual and seasonal basis	<ul style="list-style-type: none"> <li>Percentage of PBAs that have been translated into workload information by capacity management</li> <li>Customer satisfaction</li> </ul>	SUSPEND
Complaint Management	Complaint Tracking	<p>Automated report of Complaints from Customers regarding MSI, STS, Third Party Vendor, etc. as designated by VITA. Information on each complaint will contain, at a minimum:</p> <ul style="list-style-type: none"> <li>Username and business unit of Customer submitting the Complaint</li> <li>Complaint ID</li> <li>Complaint description</li> <li>Date of complaint</li> <li>Time of complaint</li> <li>Source of complaint (department, function, and individual name)</li> <li>Nature of complaint</li> <li>Impact, urgency, and priority</li> <li>Reference</li> <li>Validation comments</li> <li>Received and validated by</li> <li>Actions taken (if any)</li> <li>Resolution date</li> </ul>	<ul style="list-style-type: none"> <li>Percentage reduction in the number of complaints</li> <li>Percentage reduction in the number of escalations</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
Complaint Management	Open Complaint Status	Report on status of corrective actions taken to address open complaints	<ul style="list-style-type: none"> <li>Complaints open, stoplight status, escalated, and closed with customer agreement</li> </ul>	SUSPEND
Feedback	Customer Feedback (General)	Report of all feedback given by Customers	<ul style="list-style-type: none"> <li>Percentage of business requirements that are documented and signed off</li> <li>Improvement in customer satisfaction levels</li> </ul>	SUSPEND
		Process durations, status, and volume report	<ul style="list-style-type: none"> <li>Priority ranking, open requests by lifecycle step, stoplight status, escalated, risks, issues, and closed with customer agreement</li> </ul>	SUSPEND
		Report the use and frequency of non-standard alternative solution designs to VITA and ITISP Governance.	<ul style="list-style-type: none"> <li>Services by type, counts, reasons for not using standard alternatives, open requests by lifecycle step, stoplight status, escalated, and closed with customer agreement</li> </ul>	SUSPEND
Process monitoring	Process	Process effectiveness and satisfaction KPIs	<ul style="list-style-type: none"> <li>Reduction in the number of subsequent revisions of the content of SDPs (accuracy)</li> <li>Percent increase in the number of successful new or changed services in terms of outcomes, quality, cost, and timeliness</li> <li>Customer satisfaction score for each new or changed service</li> <li>Percent increase in the number of transitioned services that consistently achieved the agreed-upon service level targets</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
Service Fulfillment	Service Fulfillment Benchmarks	<p>Report on the fulfillment of Service Requests and Service Catalog orders to identify:</p> <ul style="list-style-type: none"> <li>Benchmark information (including, but not limited to cost, hours, fulfillment time) for expectation setting with Customers</li> <li>Misaligned incentives of Service Towers and orders and requests from Customers</li> <li>Standard delivery times for Standard Services and Standard Solutions.</li> <li>Recurring cost.</li> <li>Approved/Closed for those open.</li> <li># of items created vs total number fulfilled and total number of items in the catalog.</li> </ul>		SUSPEND
Process monitoring	Process	Process effectiveness and satisfaction KPIs	<ul style="list-style-type: none"> <li>Number of services defined in the service portfolio</li> <li>Percentage increase in the number of services defined and managed in the service catalog</li> <li>Differences discovered between the defined service catalog and reality (in terms of services and attributes)</li> <li>Business awareness of the service catalog (survey)</li> <li>Percentage of services that have documented risks</li> </ul>	SUSPEND



Report Category	Report Name	Description	KPI Examples	Frequency
	OLM Performance	Report on the performance of Operating Level Measures (OLM) as established with Suppliers to VITA and ITISP governance.		SUSPEND
Continual Process Improvement Plan Implementation	Implemented Service Improvements	Progress on implementing Service Improvement Plans.	<ul style="list-style-type: none"> <li>Percentage of service improvements implemented (process improvements implemented vs. service improvements planned)</li> </ul>	SUSPEND
Process monitoring	Process, in addition to Exhibit 3 Reporting and Service Level Management	Process effectiveness and satisfaction KPIs	<ul style="list-style-type: none"> <li>Number or percentage of SLA targets met</li> <li>Number or percentage of SLA targets missed</li> <li>Percentage increase/decrease in the customer satisfaction rating</li> <li>Percentage increase/decrease in the number of customer complaints</li> <li>Percentage of the total IT services covered in the SLA</li> </ul>	SUSPEND
Availability	Outage report – All Towers	Report on all outages, including impact assessments, root causes, related known errors/issues	<ul style="list-style-type: none"> <li>Percentage of incidents caused by existing problems or known errors</li> <li>Mean Time To Restore Service (minutes)</li> <li>Critical time failure (minutes); i.e., system unavailability during critical times</li> <li>Percent reduction in critical time failures</li> </ul>	SUSPEND



Report Category	Report Name	Description	KPI Examples	Frequency
Availability	Current and Historical Availability	<p>Report on current and historical availability metrics with a 12-month rolling forecast. Report will include:</p> <ul style="list-style-type: none"> <li>• Mean time between failures</li> <li>• Service Availability and Unavailability for Business Functions.</li> <li>• Major Availability incidents (including impact)</li> <li>• Service Outage Reports</li> <li>• Planned versus actual downtime</li> <li>• Customer complements and complaints, related to availability and quality of service</li> </ul>	<ul style="list-style-type: none"> <li>• Percentage of incidents that were resolved without impacting business</li> <li>• Percentage of events indicating availability issues</li> <li>• Number of major incidents for each IT service</li> <li>• Percentage of problems resolved within SLA</li> <li>• Number of repeated incidents (by service)</li> <li>• Percent reduction in the unavailability of IT services and IT infrastructure components</li> <li>• Percent increase in the reliability of services and components</li> <li>• Percent reduction in revenue due to unavailability</li> <li>• Reduction in service outages (as a result of using the CMDB to identify impact)</li> </ul>	SUSPEND
Business Continuity	Customer Summary	<p>Report consisting of:</p> <ul style="list-style-type: none"> <li>• Customer name, Point of Contact, Locations, other relevant Customer data</li> <li>• Single Point of Contact for MSI, Tower Suppliers, VITA for that Customer</li> <li>• Mission Essential Functions, Primary and Secondary Business Functions, RTO and RPO for each Business Function</li> </ul>		SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
		<ul style="list-style-type: none"> <li>Service Configuration Items mapped to Business Functions</li> <li>Defined recovery activities</li> <li>Other dependencies</li> <li>Dates that information was last updated or validated by Customer</li> </ul>		
Business Continuity	Disaster Recovery Test, preliminary results	<p>Report that includes preliminary results of disaster recovery tests, including:</p> <ul style="list-style-type: none"> <li>Customer, related Mission Essential Functions and Business Functions, Systems tested (specific servers, applications, networks, etc.)</li> <li>Tests performed</li> <li>Test not performed, including reason for test not being done</li> <li>Results achieved</li> <li>Preliminary comparison of the results to the measures and goals identified in the respective IT Service Continuity plans</li> </ul>	<ul style="list-style-type: none"> <li>Delay in ITSCM testing (against the schedule)</li> </ul>	SUSPEND
Business Continuity	Disaster Recovery Test, formal results	<p>Report that includes detailed results of disaster recovery tests, including:</p> <ul style="list-style-type: none"> <li>Customer, related Mission Essential Functions and Business Functions, Systems tested (specific servers, applications, networks, etc.)</li> <li>Tests performed</li> <li>Test not performed, including reasons for test not being done</li> <li>Results achieved</li> </ul>	<ul style="list-style-type: none"> <li>Delay in ITSCM testing (against the schedule)</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
		<ul style="list-style-type: none"> <li>• Comparison of the results to the measures and goals identified in the respective IT Service Continuity plan</li> <li>• Feedback from Users as to the adequacy of continuity for their respective areas</li> <li>• Feedback from Service Towers as to the adequacy of IT Service Continuity Plan, test execution, Customer's expectations, etc.</li> <li>• Plan and schedule, agreed with all Service Towers, to remediate any IT Service Continuity issues identified during testing</li> </ul>		
Business Continuity	BCP: Gap Analysis	Results of gap analyses, performed at request of VITA or Customers, of the Integrated IT Service Continuity Plan against good industry practice (e.g. Business Continuity Institute <a href="http://www.thebci.org">www.thebci.org</a> ) and Customer's recovery objectives and requirements.		SUSPEND
Process monitoring	Process	Process effectiveness and satisfaction KPIs Using CMDB Database identifying DR subscription services.	<ul style="list-style-type: none"> <li>• Number or percentage of services not covered in the ITSCM plan</li> <li>• Delay in ITSCM testing (against the schedule)</li> <li>• Number of audit gaps found in the ITSCM process</li> <li>• Overall reduction in the risk and impact of the possible failure of IT services</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
	Capacity Analysis	Report that identifies Capacity problems. Includes current resource utilization, trends, forecasts, and exceptions (including those provided by the Service Tower Suppliers).	<ul style="list-style-type: none"> <li>Report all KPI's associated and actions to take in response to the current metrics</li> </ul>	SUSPEND
Process monitoring	Process	Process effectiveness and satisfaction KPIs	<ul style="list-style-type: none"> <li>Percentage reduction in business disruptions caused by a lack of adequate IT capacity</li> <li>Percentage reduction in the number of SLA breaches</li> <li>Accuracy of forecasts of actual expenditure vs. planned expenditure (capacity related)</li> <li>Number of incidents related to capacity</li> <li>Number of unplanned purchases of capacity- and performance-related hardware</li> </ul>	SUSPEND
	Capacity Plan	Annual Capacity Plan that includes all STS's		SUSPEND
	Security Assessment Report	Report on compliance of services and systems with VITA Rules. Includes remediation steps for non-compliant services or systems. (See Section 4.7.2 Security Assessments in Exhibit 2.1).	<ul style="list-style-type: none"> <li>Percentage increase/decrease in the number of non-conformances of the information security policies (audit related)</li> <li>Percentage increase in SLA conformance (related to information security)</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
	Applicable Security Requirements	Report available to each Customer detailing the Security Requirements that are being applied to the Customer's systems.		SUSPEND
	Security Clearance Status Review	Report on results of monthly assurance reviews to determine that all Supplier and Service Tower Supplier staff allocated to Customer's services have up-to-date security clearance, including background checks in compliance with Customer policies.		Monthly
	Security Remediation Status Reports	Current status of all on-going, security-related work and identified actions (e.g., from POAMs)	<ul style="list-style-type: none"> <li>Number of improvements (security related) planned and implemented</li> </ul>	SUSPEND
	Emerging Threats	Report that highlights emerging threats and the status of known risks.		SUSPEND
	Security Incidents Summary	Report of Security Incidents that occurred	<ul style="list-style-type: none"> <li>Percentage increase/decrease in security incidents/breaches</li> </ul>	SUSPEND
	Security Incident Management Response	Detailed information on the Security Incident Management response for each Security Incident		SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
	Risk Monitoring, Identification and Assessment	Report includes: <ul style="list-style-type: none"> <li>Risks, including the service impact assessment, arising from the activities of the Supplier and Service Tower Suppliers in the delivery of the end-to-end services.</li> <li>Trends, changes and emerging risks</li> </ul>		SUSPEND
	Risk Mitigation Activities	Report on activities of the Supplier and Service Tower Suppliers to address any control weaknesses identified in the assurance activities detailed in Section 4.8 of Exhibit 2.1).		SUSPEND
	Formal Risk Assessment	Report will, at a minimum, include: <ul style="list-style-type: none"> <li>Assets <ul style="list-style-type: none"> <li>Asset name</li> <li>Asset category</li> <li>Asset owner</li> </ul> </li> <li>Risk assessment <ul style="list-style-type: none"> <li>Risk analysis (threats and vulnerabilities)</li> <li>Business impact</li> <li>Likelihood</li> <li>Risk evaluation</li> </ul> </li> <li>Risk treatment (controls) <ul style="list-style-type: none"> <li>Risk appetite</li> <li>Risk mitigation</li> </ul> </li> </ul> Controls <ul style="list-style-type: none"> <li>Risk residual</li> </ul>		SUSPEND



Report Category	Report Name	Description	KPI Examples	Frequency
Issues Management	Services Issues Report	Status of major pending issues, Status of Improvement Action Plans, Report of major changes to the environment having an unforeseen impact on costs or utilization	<ul style="list-style-type: none"> <li>Number of issues for each IT service tower</li> <li>Percentage of issues resolved</li> </ul>	SUSPEND
Service-Level Management	Monthly Service Level Performance Report	Comparison of actual results vs. Service Level requirements as prescribed in <b><u>Exhibit 3 (Reporting and Service Level Management)</u></b>	<ul style="list-style-type: none"> <li>Number or percentage of SLA targets met</li> <li>Number or percentage of SLA targets missed</li> <li>Percentage increase/decrease in the customer satisfaction rating</li> <li>Percentage increase/decrease in the number of customer complaints</li> </ul>	SUSPEND
Service-Level Management	Tickets successfully closed without being reopened (Incidents and Service Requests)	All incident and service request tickets that were closed and never reopened	Number of incidents and service requests that were closed successfully	Monthly
Service-Level Management	Incidents Closed Within 30 Days	Incident tickets closed within 30 days of the agreed upon contract timeframe	All incidents not closed on time but within 30 days of that timeframe	Monthly
Service-Level Management	Service Requests Open Over 20 Days Past Due	Service Requests Open over 20 days Past Due	All service requests open 20 days past the due date agreed upon in the CSLAF Table	Quarterly
Service-Level Management	Security and Vulnerability	Security and Vulnerability Non- Critical Patching Report	This SLA measures the percentage of items where a patch was successfully applied within 90 days of the patch becoming available for any Vulnerability identified by Tenable scanning with a CVSS (Common Vulnerability Scoring System) score below 7.0.	Quarterly



Report Category	Report Name	Description	KPI Examples	Frequency
			<p>If the item has an unpatched Vulnerability with a CVSS score below 7.0 that has a patch that has been available for at least 60 days at the time of the scan, the item will be counted as a miss for that performance period. Items will continue to report in any subsequent measurement period where they again scanned and were found to have the same vulnerability that has remained unpatched.</p> <p>An item is not considered as passing the SLA unless all identified vulnerabilities with a CVSS score of 7.0 or greater with a patch that has been available for at least 60 days at the time of the scan have had the patch/patches successfully applied</p>	
Incident Management	Open Incident Report	Report that provides a summary of all open Severity Level 1, 2, 3 and 4 Incidents and details for Severity Level 1 and 2 service affecting occurring in the previous 24-hour period	<ul style="list-style-type: none"> <li>Percentage of incidents resolved by service desk in the previous <del>24-hour period</del> <b>Month</b></li> </ul>	SUSPEND
Incident Management	Monthly Incident Management Report	Trending and Categorization Reports for all incidents which include days open by severity, trends by top closing codes, trends by VITA customer, etc.	<ul style="list-style-type: none"> <li>Mean time to resolve incidents</li> <li>Percentage of incidents resolved by service desk</li> <li>Percentage of incidents incorrectly assigned</li> <li>Percentage of incidents reopened</li> <li>Number of major incidents for each IT service</li> <li>User satisfaction survey score - incidents</li> </ul>	<b>SUSPEND</b>

Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"> <li>Number of incidents incorrectly categorized</li> </ul>	
Problem Management	Root Cause Analysis (RCA) Report	<p>Details of the results of each Root-Cause Analysis (RCA) performed by VITA or Supplier</p> <p>Includes the nature of the incident and plans to prevent or avoid future incidents</p>	<ul style="list-style-type: none"> <li>Percentage of incidents reduced due to elimination of root cause</li> <li>Percentage of incidents closed by first line support using the KEDB</li> <li>Percentage of accuracy of the KEDB (from database audits)</li> <li>Number of repeated incidents (by service)</li> <li>Percentage of problems resolved within SLA</li> <li>User satisfaction ratings - RCSs</li> </ul>	<b>SUSPEND</b>
Availability Management	Availability Reports	A variety of reports necessary to depict the availability of the MSI infrastructure and where specific VITA Customer reporting related to availability is currently reported, continuing that reporting	<ul style="list-style-type: none"> <li>Mean Time To Restore Service (minutes)</li> <li>Critical time failure (minutes); i.e., system unavailability during critical times</li> <li>Percent reduction in the unavailability of IT services and IT infrastructure components</li> </ul>	SUSPEND
Request Management	Request Management Performance Report	Monthly report of Service Requests including acknowledgement, scheduling, request completion, past due requests, etc.	<ul style="list-style-type: none"> <li>Percentage of service requests completed within SLA</li> <li>Number of service requests recorded for a specific period</li> <li>Mean time taken to handle service requests</li> <li>Average costs per request fulfillment</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"> <li>Percentage of self-service requests compared to total number of service requests</li> <li>User satisfaction score for handling of service requests</li> </ul>	
Configuration Management	Configuration Management Performance Reports	Report related to updates and profile of the Configuration Management Database	<ul style="list-style-type: none"> <li>Percentage of CIs that are not included in the CMS compared to the actual CI in the IT infrastructure</li> <li>Number of unauthorized configurations found during audits</li> </ul>	SUSPEND
Asset Management	Asset Management Reports	Asset Management report that provide a profile of resources utilized in delivery of services.	<ul style="list-style-type: none"> <li>Number of issues and incidents related to assets (e.g., licenses)</li> </ul>	SUSPEND
Security Management	Unified Threat Management Report	Threat management report which will include a view of intrusions, threats, vulnerabilities, failed logins, and other brute-force attempts to access the system, scheduled assessments, scheduled updates	<ul style="list-style-type: none"> <li>Percentage increase/decrease in security incidents/breaches</li> <li>Percentage increase/decrease in the number of non-conformances of the information security policies (audit related)</li> <li>Number of improvements (security related) planned and implemented</li> <li>Number of access requests processed (per day/week)</li> <li>Percentage of access requests automated</li> <li>Number of security breaches caused by incorrect access settings</li> <li>Average time taken to process an access request</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"> <li>Number of audit findings that discovered incorrect access settings for users</li> <li>Number of incidents requiring a reset of access rights</li> </ul>	
Change Management Performance Reports	Change Management Activity	<p>Change Management Report describing Change Activity:</p> <ul style="list-style-type: none"> <li><del>Exception Changes</del></li> <li>Past Due Changes</li> <li>Unauthorized Changes</li> <li><del>Past Due Measurement</del></li> <li>Change Success</li> <li>Change Failures</li> <li>Breakdown of changes by Type: Standard, Normal(minor/major), Emergency</li> <li>Reporting Month is defined as the 1<sup>st</sup> Day of the Month through Last day of month</li> <li>Executive summary , or synopsis of the month in review</li> <li>Rolling 13 month comparison of monthly data</li> </ul>	<ul style="list-style-type: none"> <li>Percentage of change evaluations conducted (actual vs. planned)</li> <li>Average cycle time to conduct a change evaluation</li> <li>Increase in the number of change evaluations conducted on time (within the agreed-upon timelines)</li> <li>Number of changes rejected due to deviations (predicted and actual performance)</li> <li>Number of incidents reported as a result of new or changed services</li> <li>Number of emergency changes deployed into the live environment (per given period)</li> <li>Percentage of changes completed on time (deployed on time)</li> <li>Percentage of changes deployed successfully on the first attempt (change success rate)</li> <li>Reduction in the number of service disruptions due to new changes</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"> <li>Number of changes implemented outside the change management process (deviations from the defined process)</li> <li>Percentage of changes that meet customer requirements</li> <li>Reduction in the number of audit and compliance issues</li> <li>Number of testing projects completed on time</li> <li>Percentage reduction in production incidents related to changes</li> <li>Number of incidents and issues found after changes are applied by status</li> </ul>	
Change Management	Change Management Report	Provide monthly Change Management reports in a format agreed with Customers as described in Exhibit 3 (Reporting and Service Level Management). List the status of scheduled Operational Changes.	<ul style="list-style-type: none"> <li>Percentage of change evaluations conducted (actual vs. planned)</li> <li>Average cycle time to conduct a change evaluation</li> <li>Increase in the number of change evaluations conducted on time (within the agreed-upon timelines)</li> <li>Number of changes rejected due to deviations (predicted and actual performance)</li> <li>Number of incidents reported as a result of new or changed services</li> </ul>	SUSPEND



Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"><li>• Number of emergency changes deployed into the live environment (per given period)</li><li>• Percentage of changes completed on time (deployed on time)</li><li>• Percentage of changes deployed successfully on the first attempt (change success rate)</li><li>• Reduction in the number of service disruptions due to new changes</li><li>• Number of changes implemented outside the change management process (deviations from the defined process)</li><li>• Percentage of changes that meet customer requirements</li><li>• Reduction in the number of audit and compliance issues</li><li>• Number of testing projects completed on time</li><li>• Percentage reduction in production incidents related to changes</li><li>• Number of incidents and issues found after changes are applied by status</li></ul>	

Report Category	Report Name	Description	KPI Examples	Frequency
Change Evaluation	Baseline Performance Report	Report of the actual performance of the environment prior to implementing any major changes.	<ul style="list-style-type: none"><li>• Percentage of change evaluations conducted (actual vs. planned)</li><li>• Average cycle time to conduct a change evaluation</li><li>• Increase in the number of change evaluations conducted on time (within the agreed-upon timelines)</li><li>• Number of changes rejected due to deviations (predicted and actual performance)</li><li>• Number of incidents reported as a result of new or changed services</li><li>• Number of emergency changes deployed into the live environment (per given period)</li><li>• Percentage of changes completed on time (deployed on time)</li><li>• Percentage of changes deployed successfully on the first attempt (change success rate)</li><li>• Reduction in the number of service disruptions due to new changes</li><li>• Number of changes implemented outside the change management process (deviations from the defined process)</li></ul>	<b>SUSPEND</b>



Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"> <li>Percentage of changes that meet customer requirements</li> <li>Reduction in the number of audit and compliance issues</li> <li>Number of testing projects completed on time</li> <li>Percentage reduction in production incidents related to changes</li> <li>Number of incidents and issues found after changes are applied by status</li> </ul>	
Change Evaluation	Post Change Performance Report	Report of the actual performance of the environment post implementing any major changes.	<ul style="list-style-type: none"> <li>Percentage of change evaluations conducted (actual vs. planned)</li> <li>Average cycle time to conduct a change evaluation</li> <li>Increase in the number of change evaluations conducted on time (within the agreed-upon timelines)</li> <li>Number of changes rejected due to deviations (predicted and actual performance)</li> <li>Number of incidents reported as a result of new or changed services</li> <li>Number of emergency changes deployed into the live environment (per given period)</li> <li>Percentage of changes completed on time (deployed on time)</li> </ul>	<b>SUSPEND</b>

Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"> <li>Percentage of changes deployed successfully on the first attempt (change success rate)</li> <li>Reduction in the number of service disruptions due to new changes</li> <li>Number of changes implemented outside the change management process (deviations from the defined process)</li> <li>Percentage of changes that meet customer requirements</li> <li>Reduction in the number of audit and compliance issues</li> <li>Number of testing projects completed on time</li> <li>Percentage reduction in production incidents related to changes</li> <li>Number of incidents and issues found after changes are applied by status</li> </ul>	
Change Evaluation	Post Implementation Review Report	Report after implementation that compiles all findings which will inform the Post Implementation Review (PIR) carried out by Change Management.	<ul style="list-style-type: none"> <li>Percentage of change evaluations conducted (actual vs. planned)</li> <li>Average cycle time to conduct a change evaluation</li> <li>Increase in the number of change evaluations conducted on time (within the agreed-upon timelines)</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"><li>• Number of changes rejected due to deviations (predicted and actual performance)</li><li>• Number of incidents reported as a result of new or changed services</li><li>• Number of emergency changes deployed into the live environment (per given period)</li><li>• Percentage of changes completed on time (deployed on time)</li><li>• Percentage of changes deployed successfully on the first attempt (change success rate)</li><li>• Reduction in the number of service disruptions due to new changes</li><li>• Number of changes implemented outside the change management process (deviations from the defined process)</li><li>• Percentage of changes that meet customer requirements</li><li>• Increase in the number of releases that meet the agreed-upon requirements in terms of cost, quality, scope, and time</li><li>• Reduction in the number of audit and compliance issues</li></ul>	

Report Category	Report Name	Description	KPI Examples	Frequency
			<ul style="list-style-type: none"> <li>Number of testing projects completed on time</li> <li>Percentage of service transitions completed on time</li> <li>Percentage reduction in production incidents related to changes</li> <li>Percentage reduction of number of post transition incidents</li> <li>Number of incidents and issues found after changes are applied by status</li> </ul>	
Customer Satisfaction	Customer Satisfaction Survey Results	Results from Change Management Process Customer Satisfaction Surveys	<ul style="list-style-type: none"> <li>Increased stakeholder satisfaction due to reduced number of failed changes (customer satisfaction is usually measured through customer surveys)</li> <li>Percentage increase/decrease in customer/user satisfaction</li> </ul>	<b>SUSPEND</b>
Release and Deployment Management	Release and Deployment Metrics Report	Report showing the success and failure of Releases, including recording Incidents related to Release.	<ul style="list-style-type: none"> <li>Number of post-release incidents (service disruptions) reported as a result of ineffective release planning</li> <li>Percentage of releases deployed into the live environment on time</li> <li>Percentage of releases that meet customer expectations in terms of cost, time, and quality</li> </ul>	<b>SUSPEND</b>

Report Category	Report Name	Description	KPI Examples	Frequency
Service Validation and Testing (SV&T)	Service Validation and Testing Metrics Report	Report showing the success and failure of testing as a service including recording failed testing plans and any incidents related to failed testing activities in the period following a deployment of Services	<ul style="list-style-type: none"> <li>• Number of testing projects completed on time.</li> <li>• Percentage reduction in production incidents related to newly transitioned services.</li> <li>• Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>• Percentage increase in test case reuse</li> </ul>	<b>SUSPEND</b>
Pre-Production Testing	Pre-Production Validation Report	Report validation that an integrated pre-production test has been performed across all affected Service Towers prior to migrating a system and service into the Customer's Production Environment. Consolidate and provide test reports to Change Management, VITA and Customer that outlines test outcomes and actions being taken to address failures.	<ul style="list-style-type: none"> <li>• Number of testing projects completed on time.</li> <li>• Percentage reduction in production incidents related to newly transitioned services.</li> <li>• Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>• Percentage increase in test case reuse.</li> </ul>	<b>SUSPEND</b>
Post Deployment End User Support	Post-Deployment FAQ Report	Capture, document and report frequently asked questions.	<ul style="list-style-type: none"> <li>• Number of post-release incidents (service disruptions) reported as a result of ineffective release planning</li> <li>• Percentage of releases deployed into the live environment on time</li> <li>• Percentage of releases that meet customer expectations in terms of cost, time, and quality</li> </ul>	<b>SUSPEND</b>

Report Category	Report Name	Description	KPI Examples	Frequency
License Management and Compliance	Unauthorized Software Report	Report on Equipment with the presence of any unauthorized or non-standard Software.	<ul style="list-style-type: none"> <li>Percentage reduction in number of audit/compliance gaps.</li> <li>Reduction in the number of audit and compliance issues.</li> <li>Number of unauthorized configurations found during audits</li> </ul>	SUSPEND
License Management and Compliance	License Compliance Report	Report of license information and compliance to Customers.	<ul style="list-style-type: none"> <li>Percentage reduction in number of audit/compliance gaps.</li> <li>Reduction in the number of audit and compliance issues.</li> <li>Number of unauthorized configurations found during audits</li> </ul>	SUSPEND
Training and Education	Training and Education Report	Report of staff who has attended training to include Users, Supplier Personnel and Key Users. Identify potential training requirements, and provide recommended training actions to VITA and ITISP Governance.	<ul style="list-style-type: none"> <li>Percentage reduction in number of audit/compliance gaps.</li> <li>Reduction in the number of audit and compliance issues.</li> </ul>	SUSPEND
Contract Management	Contract Management Report	Report on the execution of the Services against contractual obligations.	<ul style="list-style-type: none"> <li>Percentage of critical contracts renewed on time</li> <li>Percentage reduction in supplier-related complaints</li> <li>Percentage increase in the number of suppliers that meet contractual agreements</li> <li>Percentage of critical suppliers meeting performance levels</li> </ul>	SUSPEND



Report Category	Report Name	Description	KPI Examples	Frequency
Service Desk Reporting	Service Desk Activity Report	<p>Provide daily, monthly, and as requested ad hoc reports to VITA on Service Desk activities and performance, which at a minimum includes:</p> <ul style="list-style-type: none"> <li>• Key issues relating to Service Desk processes, improvements, script development.</li> <li>• Status as to Service Desk staffing, training, and authorization.</li> <li>• Integration activities and issues with other Service Desks belonging to VITA, Customers and other Service Tower Suppliers as directed by VITA.</li> <li>• Trend analysis during the thirteen (13) most recent months.</li> <li>• Number of contacts, to include all methods of contacts (e.g., calls, email, web, chat)</li> <li>• Percent of calls abandoned, % of tickets resolved, average speed to answer, average call duration, and average time to abandon</li> <li>• Number and percentage of contacts passed to other Service Desks.</li> <li>• Daily and Month-to-date numbers for Incidents and Service Requests by priority.</li> <li>• Aging reports of tickets left unresolved</li> </ul>	<ul style="list-style-type: none"> <li>• Regular reporting on cost of service</li> <li>• Customer satisfaction</li> <li>• Number or percentage of SLA targets met</li> <li>• Number or percentage of SLA targets missed</li> <li>• Percentage reduction in production incidents related to newly transitioned services</li> <li>• Percentage reduction in time and effort required to support services</li> </ul>	SUSPEND



Report Category	Report Name	Description	KPI Examples	Frequency
		<ul style="list-style-type: none"> <li>Other reports as needed regarding Service Desk operation and performance and any report included in exhibit 3.4</li> </ul>		
Incident Management	Critical Incident Report	Report of Critical Incidents, which at minimum include a review of completeness of Critical Incidents (e.g. work detail notes) and perform a management review by Service Tower every week and report accordingly	<ul style="list-style-type: none"> <li>Number of testing projects completed on time.</li> <li>Percentage reduction in production incidents related to newly transitioned services.</li> <li>Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>Percentage increase in test case reuse</li> </ul>	SUSPEND
Incident Management	Knowledge Base Statistical Report	Report knowledge base usage statistics to VITA on a monthly basis, or as requested by VITA (i.e. the number of Incidents Resolved using the knowledge base). At a minimum, the SMS, SKMS and other systems needs to have the ability to report on the number of tickets logged against a critical outage (Parent/child relationship).	<ul style="list-style-type: none"> <li>Number of testing projects completed on time.</li> <li>Percentage reduction in production incidents related to newly transitioned services.</li> <li>Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>Percentage increase in test case reuse</li> <li>Reduction in the average time taken to diagnose and resolve incidents/problems</li> <li>Percentage reduction in the dependency of people on knowledge</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
Incident Management Reporting	Incident Management Compliance Report	<p>Report on compliance with Supplier ability to record detailed audit trail information, as requested by Customers. Provide monthly report(s) in electronic copy to Customers, in the VITA approved format, which at a minimum includes:</p> <ul style="list-style-type: none"> <li>• Key issues relating to Incident Management processes.</li> <li>• Number of Incidents during the month, grouped by severity, service and STS, agency, region, classification or other criteria as appropriate.</li> <li>• List of Incidents, short description, reference number, and a shortcut to detailed description.</li> <li>• Detailed description, including timing of activities.</li> <li>• Links to Problems and Known Errors.</li> </ul> <p>Trend analysis of the Incidents reported during the thirteen (13) most recent months.</p>	<ul style="list-style-type: none"> <li>• Number of testing projects completed on time.</li> <li>• Percentage reduction in production incidents related to newly transitioned services.</li> <li>• Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>• Percentage increase in test case reuse</li> </ul>	SUSPEND
Event Management	Event Management Tracking Report	<p>Report of event monitoring and tracking of all in-scope Services, including those of other Service Tower Suppliers where required and where the Service Tower Supplier's systems are collecting such information. This includes all environments within the ITISP services (e.g. Production, Pre-production, Test and Development Environments, Applications, Network, Midrange and Distributed Environments).</p>	<ul style="list-style-type: none"> <li>• Number of testing projects completed on time.</li> <li>• Percentage reduction in production incidents related to newly transitioned services.</li> <li>• Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>• Percentage increase in test case reuse</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
Problem Management	Quarterly Problem Management Report	Report on the volume and nature of Problems in order to identify areas for improvement, and report on the trend analyses and improvements to Customers and Service Tower Suppliers on at least a quarterly basis. At a minimum will include Any backlog of unresolved Problems on at to the Customer Problem Manager, or more frequently as requested by Customers.	<ul style="list-style-type: none"> <li>Number of testing projects completed on time.</li> <li>Percentage reduction in production incidents related to newly transitioned services.</li> <li>Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>Percentage increase in test case reuse</li> </ul>	SUSPEND
Problem Management	RCA Reports	Provide RCA reporting as documented in the Service Management Manual.	<ul style="list-style-type: none"> <li>Number of testing projects completed on time.</li> <li>Percentage reduction in production incidents related to newly transitioned services.</li> <li>Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>Percentage increase in test case reuse</li> </ul>	SUSPEND
Problem Management	Monthly Problem Management Report	Report in a format approved by VITA as described in Exhibit 3 (Reporting and Service Level Management). This report will include: <ul style="list-style-type: none"> <li>The number of Problems in total and grouped by type, severity, status, Customer, region, reason code, classification or other criteria as appropriate and whether a Known Error;</li> <li>Problem reviews;</li> </ul>	<ul style="list-style-type: none"> <li>Number of testing projects completed on time.</li> <li>Percentage reduction in production incidents related to newly transitioned services.</li> <li>Number of defects found during testing by status (open, closed, fix in progress, ready for re-test).</li> <li>Percentage increase in test case reuse</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
		<ul style="list-style-type: none"> <li>Problem trend analysis findings and recommendations;</li> <li>Any issues relating to the Problem Management service, such as any other information that may improve or facilitate a better Problem Management process, including decisions to be made by VITA and the Supplier; and</li> <li>Trend analysis of Problems reported during the thirteen (13) most recent months.</li> </ul>		
Service Request Reporting	Knowledge Base Fulfillment Report (Service Requests)	Report showing the use of the knowledge base to fulfil Service Requests.	<ul style="list-style-type: none"> <li>Percentage reduction in the dependency of people on knowledge</li> <li>Percentage of errors related to lack of knowledge in the service life cycle</li> <li>Percentage reduction in time and effort required to support services</li> <li>Increase in the access to SKMS by managers and IT staff</li> <li>Increase in the number of SKMS searches</li> </ul>	SUSPEND
Service Request Reporting	Online Service Request Fulfillment Report	Report via an online tool that would allow on-demand by VITA and Customers reporting the following: <ul style="list-style-type: none"> <li>Progress toward fulfilment and the status of all Service Requests.</li> </ul>	<ul style="list-style-type: none"> <li>Percentage reduction in the dependency of people on knowledge</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
		<ul style="list-style-type: none"> <li>Committed fulfillment timeframes, anticipated completion times, and status.</li> <li>Ownership and activities toward fulfillment for all open Service Requests.</li> <li>Changes in Service Request status throughout the Service Request lifecycle.</li> <li>Categories of Service Requests, by Customer</li> <li>Staff activities on Service Requests.</li> <li>Outstanding and aging Service Requests and the trends thereof.</li> </ul>	<ul style="list-style-type: none"> <li>Percentage of errors related to lack of knowledge in the service life cycle</li> <li>Percentage reduction in time and effort required to support services</li> <li>Increase in the access to SKMS by managers and IT staff</li> <li>Increase in the number of SKMS searches</li> </ul>	
Service Request Reporting	Request for Solution Reporting	Metric report on the effectiveness of the Request for Solution process, including (but not limited to): time-to-solution, time-to-respond, accuracy of proposals, accuracy of forecast and comparing captured to cancel requests.	<ul style="list-style-type: none"> <li>Percentage reduction in the dependency of people on knowledge</li> <li>Percentage of errors related to lack of knowledge in the service life cycle</li> <li>Percentage reduction in time and effort required to support services</li> <li>Increase in the access to SKMS by managers and IT staff</li> <li>Increase in the number of SKMS searches</li> </ul>	SUSPEND



Report Category	Report Name	Description	KPI Examples	Frequency
Access Management	Separation of Duty Report	Report and alert on separation-of-duty access requirements for accounts as defined by the Customer.	<ul style="list-style-type: none"> <li>Customer satisfaction</li> <li>Number or percentage of SLA targets met</li> <li>Number or percentage of SLA targets missed</li> <li>Percentage reduction in production incidents related to newly transitioned services</li> </ul>	SUSPEND
Continual Service Improvement	Overall Program Measures Report	The Overall Program Measures Report describes suggested improvements to the program measures used to monitor quality and performance of the MSI and service tower suppliers. Included are corrective actions to improve the program measure approach and track improvements.	<ul style="list-style-type: none"> <li>Percentage of improvement in customer satisfaction rating</li> <li>Percentage of service improvements implemented (service improvements implemented vs. service improvements planned)</li> <li>IT cost reduction as a result of service improvement efforts (compare original cost vs. cost after improvement for a specific section, process, or project)</li> <li>Reduction in “waste” in time for executing a certain process or processes</li> </ul>	SUSPEND
Continual Service Improvement	Quality Assurance Report	The Quality Assurances Report describes the ongoing quality, reliability, speed, cost-effectiveness, security, customer experience and Customers’ satisfaction.	<ul style="list-style-type: none"> <li>Percentage of improvement in customer satisfaction rating</li> <li>Percentage of service improvements implemented (service improvements implemented vs. service improvements planned)</li> <li>IT cost reduction as a result of service improvement efforts (compare original cost vs. cost after</li> </ul>	SUSPEND



Report Category	Report Name	Description	KPI Examples	Frequency
			improvement for a specific section, process, or project) <ul style="list-style-type: none"> <li>Reduction in “waste” in time for executing a certain process or processes</li> </ul>	
Continual Service Improvement	Improvement Planning Report	The Improvement Planning Report outlines the improvement activities associated with the ITIL Continual Service Improvement Framework (CIF) and the Service Improvement Programs, as approved by ITISP Governance.	<ul style="list-style-type: none"> <li>Percentage of improvement in customer satisfaction rating</li> <li>Percentage of service improvements implemented (service improvements implemented vs. service improvements planned)</li> <li>IT cost reduction as a result of service improvement efforts (compare original cost vs. cost after improvement for a specific section, process, or project)</li> <li>Reduction in “waste” in time for executing a certain process or processes</li> <li></li> </ul>	SUSPEND
Continual Service Improvement	Process Evaluation Report	The Process Evaluation Report includes the results of routine process evaluation, assessment of process currency and the accomplishment of process improvements in the delivery of ITISP Services.	<ul style="list-style-type: none"> <li>Percentage of improvement in customer satisfaction rating</li> <li>Percentage of service improvements implemented (service improvements implemented vs. service improvements planned)</li> <li>IT cost reduction as a result of service improvement efforts (compare original cost vs. cost after</li> </ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
			improvement for a specific section, process, or project) <ul style="list-style-type: none"><li>• Reduction in “waste” in time for executing a certain process or processes</li></ul>	
Continual Service Improvement	Corrective Action Resolution Report	The Corrective Action Resolution Report describes the progress on implementing corrective actions.	<ul style="list-style-type: none"><li>• Percentage of improvement in customer satisfaction rating</li><li>• Percentage of service improvements implemented (service improvements implemented vs. service improvements planned)</li><li>• IT cost reduction as a result of service improvement efforts (compare original cost vs. cost after improvement for a specific section, process, or project)</li><li>• Reduction in “waste” in time for executing a certain process or processes</li></ul>	SUSPEND

Report Category	Report Name	Description	KPI Examples	Frequency
Continual Service Improvement	Technical Currency Report	The Technical Currency Report describes the usability of existing assets and reviews alternatives to replace, re-lease, consolidate, or retain the assets. The report also describes open agreements related to assets that are retired or will retire within 180 days of the report date and the completion progress of Currency Plan implementation.	<ul style="list-style-type: none"> <li>Percentage of improvement in customer satisfaction rating</li> <li>Percentage of service improvements implemented (service improvements implemented vs. service improvements planned)</li> <li>IT cost reduction as a result of service improvement efforts (compare original cost vs. cost after improvement for a specific section, process, or project)</li> <li>Reduction in “waste” in time for executing a certain process or processes</li> </ul>	SUSPEND
Continual Service Improvement	SMM Currency Report	SMM Currency and the progress on process improvements in the delivery of ITISP Services	<ul style="list-style-type: none"> <li>Percentage of improvement in customer satisfaction rating</li> <li>Percentage of service improvements implemented (service improvements implemented vs. service improvements planned)</li> <li>IT cost reduction as a result of service improvement efforts (compare original cost vs. cost after improvement for a specific section, process, or project)</li> <li>Reduction in “waste” in time for executing a certain process or processes</li> </ul>	SUSPEND

### 3.0 Tower Specific Services Reports

Report Category	Report Name	Description	Frequency
Security	Daily Managed Security Service Report	Daily security dashboard: scan results, OEM security/vulnerability announcements, previous 7-day window, open tasks/incidents, security trending	Daily Monday through Friday (Saturday and Sunday data to be included on Monday report)
	Monthly Security Incident Reports (SIRS)	Incidents out of the SOC on Security	Monthly Talk to CSRM
	Monthly Security Status Review Overview	Roll-up of multiple sources: Monthly Security Updates; Monthly All towers Security Services; Monthly Identity and Access Management Services status report; Monthly Background Checks TDCJ and DFPS status report Monthly Documentation and Process status reports (PPM updates, ISeC updates, etc.); Monthly ISeC status reports (number of exceptions, number pending, VITA Customer issues with ISeC implementation (delays in implementation, VITA. Customer failure to submit exceptions, etc.); Monthly Antivirus/Malware status report; Monthly Security Reports information derived from ISS Security Services. Also, as per <b>Exhibit 3.1 (Service Level Matrix - Managed Security)</b> Critical Deliverable 2.12, at a minimum, include reports listed in <b>Exhibit 2.1 (Description of Services – Managed Security)</b> requirements: R.13, R.15, R.25, R.42, R.74, R.197, R.312, R.359, R.366, R.390, R.403, R.446, R.597, R.670, R.877, R.948, R.986, and R.1036.	SUSPEND
	Semi-annual HIDS deployment reports	VITA Customer deployment status of HIDS agents.	SUSPEND ( <b>CrowdStrike does not support this report</b> )
	<del>Quarterly DCS portal access review reports</del>	<del>List of who has access and last use of that access.</del>	DELETED

Report Category	Report Name	Description	Frequency
	Semi-Annual Privileged ID reports	VITA Customer Privileged ID report; validating what is in Security Clearance Database to what is coming from each SCP.	SUSPEND
	Quarterly ISeC Health Checks	VITA Customer ISeC compliance and remediation; compliance with Security Plan, etc.	Quarterly
	Threat Landscape reports	Report on intrusion attempts and success/failure of prevention systems. Failures should generate Incident.	Daily Monday through Friday (Saturday and Sunday data to be included on Monday report)
	Monthly onboarding/off boarding report	Identify new personnel on boarded and off boarded personnel.	Monthly
	Security Incident Status Report	Status of all Security Incidents.	Monthly
	Malicious Code or unauthorized code protection	Provide daily and monthly reports, broken out by VITA Customer and in compliance with VITA and VITA Customer policies that contain a summary of the number of malware or unauthorized code detected and cleaned, as well as a list of malware caught.	Daily Monday through Friday (Saturday and Sunday data to be included on Monday report) Monthly
	Security Incident Testing/Exercise Report	As per <b>Exhibit. 3.1 (Service Level Matrix - Managed Security)</b> Recurring Deliverable 2.10, at a minimum, include reports listed in <b>Exhibit 2.1 (Description of Services – Managed Security)</b> requirements: R.244, R.295, and R.299.	SUSPEND
	Security Systems Operational Review/Toolset Configuration Review	As per <b>Exhibit. 3.1 (Server Level Matrix – Managed Security)</b> Recurring Deliverable 2.11, at a minimum, include reports listed in <b>Exhibit 2.1 (Description of Services – Managed Security)</b> requirements: R.458, R.460, R.463, R.537, R.610, R.690, R.711, R.740, R.742, R.745, R.818, R.819, R.891, R.1050, R.1051, R.1075, R.1076, and R.1118.	SUSPEND
	Annual Security Architecture Review	As per <b>Exhibit 3.1 (Service Level Matrix - Managed Security)</b> Critical Deliverable 2.13, at a minimum, include reports listed in <b>Exhibit 2.1 (Description of</b>	SUSPEND



Report Category	Report Name	Description	Frequency
		<b>Services – Managed Security</b> requirements: R.651, R.831, and the overall security architecture review.	
	Penetration Testing Report	As per <b>Exhibit 3.1 (Service Level Matrix - Managed Security)</b> Critical Deliverable 2.20, at a minimum, include reports listed in <b>Exhibit 2.1 (Description of Services – Managed Security)</b> requirements: R.407, R.408, R.409, and R.413.	SUSPEND

#### 4.0 Administrative Services Reports

Report Category	Report Name	Description	KPI Examples	Frequency
Contract Management	Contract Change Request Status Report	Monthly report specifying the status of all Contract Change Requests		SUSPEND
Contract Management	ECA Adjustment	Reports calculation of the Annual Economic Change Adjustment (ECA) for supplier pricing as described in Exhibit 4.0.		Annually
Contract Management	Report of Sales	Reports Supplier's monthly sales data and IFA fees owed to VITA under this agreement (Section 14.7 of MSA).	IFA fees related to Supplier's monthly sales.	Monthly
Contract Management	Small Business Procurement and Subcontracting Report	Described in Section 14.7 of MSA.	Percentage of Supplier's monthly revenue from VITA spent on SWaM certified subcontractors. Percentage of Supplier's monthly subcontractor spend spent on SWaM certified subcontractors.	Monthly on or before the 10th



Report Category	Report Name	Description	KPI Examples	Frequency
Human Resources	Human Resource Report	Employee turnover report		SUSPEND
Human Resources	Personnel Projection Matrix	Report of material changes to staffing on VITA Account		Quarterly
Invoice	Enterprise Resource Unit and Other Charges Invoice	Enterprise level invoice and supporting details by Service Category, Customer, and Resource Units showing Base Charges, RU consumption amounts, other charges and credits, total amount due for the current month. Including Pass-Through, Project Services, or Milestone Charges that may also be due for the current month.		Monthly
Chargeback	Chargeback and Other Charges Report	Enterprise level Chargeback report and supporting details by Service Category, Customer, Resource Units showing RU consumption amounts. Including any Project Services, Pass-through, or Milestone Charges that may also be due in current month.		SUSPEND
Invoicing and Chargeback	Outstanding Disputes Report	A list of all outstanding dispute items and amounts with status by VITA Customer, including Resource Unit Category, Dollar Dispute amount, units in dispute, dispute description, aging status and amounts with status and escalation priority.		SUSPEND
Invoicing and Chargeback	Settled Disputes Amount	A list of settled disputed items and amounts due to or by VITA, clearly differentiating due to VITA or to a VITA Customer.		SUSPEND
Invoicing and Chargeback	Service Level Agreement Credits Report	Details about Service Level credits included on the monthly invoice.		SUSPEND
Invoicing and Chargeback	Credit Report	Provide detail of monthly Credits.		SUSPEND
Invoicing and Chargeback	Financial Planning and Forecasting	Provide forecast of Charges and associated volumes by Customer and Resource Unit Category (or other charge type as appropriate) for the next		Semi-Annual

Report Category	Report Name	Description	KPI Examples	Frequency
		3 years immediately following the time in and for which each such forecast is provided.		